



Connecticut State Board of Accountancy

30 Trinity Street
Hartford, Connecticut 06106-1634
(860) 509-6179 – Fax (860) 509-6247
www.ct.gov/sboa
sboa@ct.gov

Thomas F. Reynolds, CPA, Chairman
James S. Ciarcia
Philip J. DeCaprio, Jr., CPA/ABV/CFF/Cr.FA, CVA
Richard H. Gesseck, CPA
Leonard M. Romaniello, Jr., CPA, CITP, CFE, MST
Richard L. Sturdevant
Martha S. Triplett, Esq.
Michael Weinschel, CPA

David L. Guay, Executive Director
Rebecca E. Adams, Esq., Legal Counsel

PUBLIC SESSION **ENFORCEMENT AGENDA** **JUNE 1, 2010**

I. REQUESTING SETTLEMENT APPROVAL

A. Licensing Related Cases (failure to renew on time/fail to undergo/file quality review on time)

2010013-3362 Timothy O'Meara - failure to file 2007 QR

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010017-3365 - failure to file 2007 QR

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010018-3368 Kozlowski & Tancredi - failure to file 2007 QR

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010020-3367 George Cofrancesco - failure to file 2007 QR

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010025-3373 O. Douglas Covington - failure to file 2008 QR

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010030-3378 Ricci & Co. - failure to file 2008 QR

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010031-3379 Donald Siclari - failure to file 2008 QR

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010032-3380 Lewis Stengel - failure to file 2008 QR

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010033-3381 Hal Tennin - failure to file 2008 QR

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010110-3460 Gehlmeyer & Co. - failure to file QR 2006/2009

Requesting settlement approval for \$500 penalty and reinstatement with lapse in firm permit

I. REQUESTING SETTLEMENT APPROVAL (CONTINUED)

A. Licensing Related Cases (failure to renew on time/fail to undergo/file quality review on time)

2010111-3461 Stanley Glick -failure to renew license and permit

Requesting settlement approval for \$500 penalty and reinstatement with lapse in license/firm permit

2010112-3462 Crehan Sapia Group -failure to report 2008 QR

requesting settlement approval - \$250 penalty and reinstatement with lapse in license

2010113-3463 Susan Oldershaw -failure to renew license

Ms. Oldershaw submitted physician's documentation that she was diagnosed with breast cancer during the last few months of 2009 - *requesting settlement approval and abatement of \$250 penalty*

2010116-3466 Robert Grant - failure to renew license

Requesting settlement approval for \$250 penalty and reinstatement with lapse in license

2010117-3467 David Van Gessel - failure to renew license

Requesting settlement approval for \$250 penalty and reinstatement with lapse in license

2010118-3468 David Van Gessel- failure to renew permit

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010115-3465 John Delaney - failed to undergo QR in 2008

Requesting settlement approval for \$250 penalty and reinstatement with lapse in firm permit

2010121-3471 Kenneth Koos - failure to renew license

Requesting settlement approval for \$250 penalty and reinstatement with lapse in license

I. REQUESTING SETTLEMENT APPROVAL

B. Non-Licensure Based Cases

2009160-3335 Carol Murphy - signing tax returns without a license.

requesting settlement approval – cease and desist, \$750 penalty, and registration of Vermont license

II. RECOMMENDING DISMISSAL**2010087-3437 Gervasi & Riskin, CPA** – failure to produce documents

Mr. John Messina of Messina Associates of Parks Arizona, claims that the firm refused to disclose the status of certain financial assets when under "court order to do so". Mr. Messina admits that he was not ever the client of the firm. - *requesting dismissal; no probable cause; no violation*

2009110-3199 Richard Pelletier -use of title of CPA

Mr. Pelletier entered into a settlement with the Board on 2/27/2004, pursuant to which he surrendered his license. The complainant alleged that Mr. Pelletier was negligent in his tax practice and that he was utilizing the title CPA. After investigation, the complainant was unable to produce any evidence that the respondent had utilized the title and counsel found no advertisement or any other document in which he utilized the title CPA. -*requesting dismissal; no probable cause; no violation*

2010034-3382 Janet Vince –failure to file QR in 2008

The firm has supplied a notarized affidavit that it does not and has not performed any audits, review, or during the relevant time period. The firm should have requested waivers for these years -*requesting dismissal; no probable cause; no violation*

*The following two cases are related to an entity called Tax Partners, LLC, which is located in Maine. The direct mail solicitations and the advertisements purchased on behalf of the individuals below by Tax Partners, LLC, offered tax preparations services for \$89.00 and promised that returns would be prepared by a CPA. Neither of the individuals below received any compensation as result of the ads or solicitations. Counsel has opened an investigation into Tax Partners, LLC as the entity responsible for misrepresenting the nature of the services provided.

***2010065-3415 M.J. Petretto** - use of title without license - *requesting dismissal without prejudice*

***2010073-3423 Donald O'Conner** -use of title without license - *requesting dismissal without prejudice*